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FACSIMILE COVER SHEET

Date:	April 20, 2004	Fax:	703-872-9306
To:	U.S. Patent and Trademark Office	Phone:	703-305-8283

Information Disclosure Statement

From:	Rachel V. Leiterman	RECEIVED
Serial No.:	10/785,616	CENTRAL FAX CENTER
Docket:	LUM-03-11-07	APR 20 2004
Re:	Information Disclosure Statement	
Pages:	3 (including cover sheet)	OFFICIAL

Message:

Re: Applicant(s): Gerd O. Mueller, Regina B. Mueller-Mach
 Assignee: Lumileds Lighting U.S., LLC
 Title: Wavelength Converted Semiconductor Light Emitting Devices
 Serial No.: 10/785,616
 Filed: February 23, 2004 Group Art Unit: Not yet assigned
 Docket No.: LUM-03-11-07

Dear Sir:

Transmitted herewith are the following document in the above-identified application:

- (1) This Fax Transmittal (1 page);
- (2) Information Disclosure Statement Under 37 C.F.R. §1.97(b) (1 page);
- (3) Form PTO/SB/08A citing 3 Reference (1 page);
- (4) Copies of the cited US Patent Documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived

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APR 20 2004

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Applicant(s): Gerd O. Mueller, Regina B. Mueller-Mach
Assignee: Lumileds Lighting U.S., LLC
Title: Wavelength Converted Semiconductor Light Emitting Devices
Serial No.: 10/785,616 Filing Date: February 23, 2004
Examiner: Not yet assigned Group Art Unit: Not yet assigned
Docket No.: LUM-03-11-07

San Jose, California
April 20, 2004

Mail Stop Patent Application
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97(b)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO/SB/08A are called to the attention of the Examiner for the above patent application. Copies of the cited US Patent documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
 2. a representation that a search has been made; or
- an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

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I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

R. Leiteman
Signature

4/20/04
Date

Respectfully submitted,

R. Leiteman

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PTC/SB/08A (08-03)

Approved for use through 07/31/2008. OMB 0651-0031

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1
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Compleat if Known

Application Number	10/785,616
Filing Date	February 23, 2004
First Named Inventor	Gerd O. Mueller
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	LUM-03-11-07

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1430, Alexandria, VA 22313-1430.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.